# UNITED STATES DISTRICT COURT FOR THE SOUTHERN DISTRICT OF NEW YORK

SONTERRA CAPITAL MASTER FUND, LTD; HAYMAN CAPITAL MANAGEMENT, L.P.; and CALIFORNIA STATE TEACHERS' RETIREMENT SYSTEM, on behalf of themselves and all others similarly situated,

Plaintiffs,

- against -

UBS AG, UBS SECURITIES JAPAN CO. LTD.: MIZUHO BANK, LTD.; THE BANK OF TOKYO-MITSUBISHI UFJ, LTD.; THE SUMITOMO TRUST AND BANKING CO., LTD.: THE NORINCHUKIN BANK; MITSUBISHI UFJ TRUST AND BANKING CORPORATION: SUMITOMO MITSUI BANKING CORPORATION; RESONA BANK, LTD.; J.P. MORGAN CHASE & CO.; JPMORGAN CHASE BANK, NATIONAL ASSOCIATION; J.P. MORGAN SECURITIES PLC; MIZUHO CORPORATE BANK, LTD.; DEUTSCHE BANK AG; DB GROUP SERVICES UK LIMITED; MIZUHO TRUST AND BANKING CO., LTD.; THE SHOKO CHUKIN BANK, LTD.; SHINKIN CENTRAL BANK: THE BANK OF YOKOHAMA, LTD.; SOCIÉTÉ GÉNÉRALE SA; THE ROYAL BANK OF SCOTLAND GROUP PLC; THE ROYAL BANK OF SCOTLAND PLC; RBS SECURITIES JAPAN LIMITED; RBS SECURITIES INC.; BARCLAYS BANK PLC; BARCLAYS PLC; BARCLAYS CAPITAL INC.; CITIBANK, NA; CITIGROUP, INC.; CITIBANK, JAPAN LTD.; CITIGROUP GLOBAL MARKETS JAPAN. INC.: COÖPERATIEVE CENTRALE RAIFFEISEN-BOERENLEENBANK B.A.; HSBC HOLDINGS PLC; HSBC BANK PLC; LLOYDS BANKING GROUP PLC; LLOYDS BANK PLC; ICAP PLC; ICAP EUROPE LIMITED; R.P. MARTIN HOLDINGS LIMITED; MARTIN BROKERS (UK) LTD.; TULLETT PREBON PLC; BANK OF AMERICA CORPORATION; BANK OF AMERICA, N.A.; MERRILL LYNCH INTERNATIONAL INCORPORATED; AND JOHN DOE NOS. 1-50, Defendants.

Docket No. 15-cv-5844 (GBD)

NOTICE OF DEFENDANTS'
MOTIONS TO DISMISS THE
AMENDED CLASS ACTION
COMPLAINT

ORAL ARGUMENT REQUESTED

PLEASE TAKE NOTICE that the undersigned, attorneys for Defendants Bank of America Corporation, Bank of America, N.A., The Bank of Tokyo-Mitsubishi UFJ, Ltd., Mitsubishi UFJ Trust and Banking Corporation, The Bank of Yokohama, Ltd., Barclays Bank PLC, Barclays PLC, Barclays Capital Inc., Coöperatieve Rabobank U.A. (f/k/a Coöperatieve Centrale Raiffeisen-Boerenleenbank B.A.), Deutsche Bank AG, DB Group Services (UK) Limited, HSBC Holdings plc, HSBC Bank plc, ICAP plc, ICAP Europe Ltd., JPMorgan Chase & Co., JPMorgan Chase Bank, National Association, J.P. Morgan Securities plc., Lloyds Bank plc (f/k/a Lloyds TSB Bank plc), Lloyds Banking Group plc, The Norinchukin Bank, Mizuho Corporate Bank, Ltd., Mizuho Trust and Banking Co., Ltd., Mizuho Bank, Ltd., Resona Bank, Ltd., The Royal Bank of Scotland Group plc, The Royal Bank of Scotland plc, RBS Securities Japan Limited, RBS Securities Inc., Shinkin Central Bank, The Shoko Chukin Bank, Ltd., Société Générale, Sumitomo Mitsui Banking Corporation, Sumitomo Mitsui Trust Bank, Limited, Tullett Prebon plc, UBS AG, and UBS Securities Japan Co. Ltd. (collectively, the "Moving Defendants") in the above-captioned matter, will move this Court, before the Honorable George B. Daniels, United States District Judge for the Southern District of New York, 500 Pearl Street, New York, New York 10007, at a date and time to be determined by this Court, for an order pursuant to Rules 12(b)(1), 12(b)(2), 12(b)(5), and/or 12(b)(6) of the Federal Rules of Civil Procedure dismissing with prejudice the Amended Class Action Complaint in the above-captioned action.

The grounds for these motions are that the Amended Class Action Complaint fails to state a claim upon which relief can be granted, the Court lacks subject matter jurisdiction over the claims asserted, there is no personal jurisdiction over the European Defendants or Japanese Banks (as those terms are defined in their memoranda of law) or over the Defendants filing individual

supporting memoranda, and the Amended Class Action Complaint should be dismissed as to Mizuho Trust & Banking Co., Ltd. for insufficient service of process. The specific grounds are set forth in the following memoranda being filed on behalf of one or more Defendants:

- (1) Memorandum of Law in Support of Defendants' Motion to Dismiss for Lack of Subject Matter Jurisdiction and Failure to State a Claim, dated February 1, 2016, and the accompanying Declaration of Michael A. Brille and exhibit thereto;
- (2) Memorandum of Law in Support of the European Defendants' Motion to Dismiss for Lack of Personal Jurisdiction, dated February 1, 2016, and the accompanying Declarations of Matthew J. Porpora, David R. Gelfand, Joanne Bagshaw, Brent L. Barton, Dominique Bourrinet, Tim Brown, Allison Cambria, Mark Chambers, John Connors, Gavin Francis, Patrick Gonsalves, William Gougherty, Kevin P. McKendry, Joseph P. Randazzo, Andrew Sherman, and Rebecca K. Smith and exhibits thereto;
- (3) Memorandum of Law in Support of the Japanese Banks' Motion to Dismiss for Lack of Personal Jurisdiction, dated February 1, 2016, and the accompanying Declarations of Toru Sawada, Tomohiro Kishi, Nobuyuki Takahashi, Monique Morreale, Shoji Suzuki, Masahiro Okamoto, Bruce A. Ortwine, Takahiro Fukuhara, and Kiyoshi Nakajima and exhibits thereto;
- (4) Memorandum of Law in Support of ICAP plc's and ICAP Europe Ltd.'s Motion to Dismiss for Lack of Personal Jurisdiction, dated February 1, 2016, and the accompanying Declarations of Richard Bigwood and Stuart Bridges;
- (5) Memorandum in Support of Resona Bank, Ltd's Motion to Dismiss the First Amended Complaint Pursuant to Federal Rule of Civil Procedure 12(b)(2), dated February 1, 2016, and the accompanying Declaration of Yoshikazu Kawaguchi;

- (6) Memorandum of Law in Support of Tullett Prebon plc's Motion to Dismiss for Lack of Personal Jurisdiction, dated February 1, 2016, and the accompanying Declaration of Paul Mainwaring; and
- (7) Memorandum of Law of Defendants Mizuho Bank, Ltd. and Mizuho Trust and Banking Co., Ltd. in Support of their Motion to Dismiss for Lack of Personal Jurisdiction and Insufficient Service of Process, dated February 1, 2016, and the accompanying Declarations of Masahiro Okamoto and Takashi Nambu.

Dated: February 1, 2016

New York, New York

Respectfully submitted,

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